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MEMORANDUM FOR: Deputy to the DCI, Intelligence Community

Director of National Estimates

General Counsel Inspector General Legislative Counsel

Director for Planning, Programming and Budgeting

SUBJECT

E Career Service Promotion and Ranking Procedures

- 1. I have completed my review of the existing promotion practices of the various components of the Office of the Director with a view toward standardizing these procedures where appropriate.
- 2. Under past practices, promotion recommendations were made to the Heads of Offices by supervisors and/or panels established to competitively rank candidates for promotion. If approved by the Office Head, the promotion requests were forwarded to the Administrative Officer/DCI (AO/DCI) who conducted an administrative review and checked promotion headroom against the Career Service Grade Authorization. From time to time an Office Head might consult with the Executive Director-Comptroller if in the Office Head's judgment an anticipated promotion should receive Executive Director-Comptroller consideration. With the exception of Supergrade promotions, the greater number of actions were sent directly by the AO/DCI to the Office of Personnel for final processing.
- 3. In order to establish a more structured procedure within the Office of the Director for careerists carrying the E, EN and EL designation, the following procedures will be implemented:
 - a. Supergrade Promotions
 Semi-annually, the AO/DCI will request Supergrade promotion recommendations from the DCI Offices. The Heads of the Offices and I will meet formally to consider all of the recommendations received. The request for recommendations and my meeting with the Office Heads will take place prior to the April and October submission dates for Supergrade recommendations to the Director.
 - b. Promotions to GS-10 through GS-15

 Each Office will establish a formal panel or panels to meet and competitively rank employees at grade levels GS-09 through GS-14. Panel membership will be selected by the Office Head and should provide for representation from the different elements of his Office. Those requests for promotion to GS-10 through GS-15 receiving the approval of the Office Head will be subject to administrative review by the AO/DCI and will not require my personal approval.

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Excluded from automatic downgrading and declassification

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Competitive evaluation for promotions to grades GS-10 through GS-15 and submission of personnel actions will be on a scheduled semi-annual basis. I believe January and July will be appropriate dates to conduct these promotion reviews. Unless there are overriding considerations for submitting certain individual actions between now and July 1972, this semi-annual schedule will be instituted next July.

- c. Promotion through GS-09
 Competitive evaluations and promotions to grades GS-09 and below will be handled at the discretion of the Office Heads. Recommendations for promotions to these grade levels will be routed through the AO/DCI for administrative review prior to submission to the Office of Personnel. Promotions to GS-09 and below will not be subject to the semi-annual schedules established for grades GS-10 and above.
- 4. Those elements of the Office of the Director that do not fall under the immediate jurisdiction of an Office Head, i.e., Info Addressees, will abide by the promotion schedules identified above; however, since establishing a panel is impractical because of the small size of those elements, all promotion recommendations will be forwarded through the AO/DCI to the Executive Director-Comptroller for final action.
- 5. Any questions regarding these procedures should be referred to the AO/DCI.

W. E. Colby
Executive Director-Comptroller

Info Addressees:

Office of the Director Historical Staff Office of the Assistant to the DCI USIB Executive Secretariat Executive Registry

cc:

AO/DCI DD/S (Note: OF rec'd cy directly for ER. 140pr 73, ea)

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FILE 123-347/2

25 February 1972

MEMORANDUM FOR: Director of Personnel

SUBJECT

: E Career Service

REFERENCE

: Memo to ExDir from D/Pers dated

8 Feb 72, Same Subject

Thank you for this helpful memorandum on a possible E Career Service. I concur with your conclusions. I do suggest, however, that we add to them the following points:

- a. A call for Supergrade promotion recommendations, be issued by the AO/DCI semiannually and the resulting recemmendations gathered together for consideration by me with the heads of the offices at a formal meeting.
- b. The offices be required to have some kind of a formal meeting or panel at which promotions through GS-15 would be considered and recommended. The participants in such a meeting should, of course, be selected by the office head, but I would expect that it would provide some representation from the different elements of his office.
- c. After the office head approval, promotion actions through GS-15 should be subject only to administrative review and not my personal approval.
- d. Office promotion consideration and actions should be on a scheduled semiannual basis. I would like to be informed of the results.

WEC

W. E. Colby Executive Director-Comptroller

Attachment

cc: DD/S

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MEMORANDUM FOR: Executive Director-Comptroller

THROUGH

Deputy Director for Support 16 FEB

SUBJECT

: E Career Service

REFERENCE

: Memo for D/Pers fr ExDir-Compt dtd 12 Jan 72, Same Subject

1. Referent memorandum requested my thoughts as to how a punel might be established within the E Career Service for competitive review of promotion recommendations coming from the various elements of the Office of the Director.

- There are currently three Career Service designations carried by employees serving in the overall E Career Service: The E designation; the EN designation, for employees in the Office of National Estimates; and the EL designation, for employees serving in the Offices of General Counsel and Legislative Counsel. Each has its own Career Service Grade Authorization.
- 3. Tab A is a table indicating the numbers of employees within the Office of the Director and the independent offices who carry the E, EN (formerly IN), and EL Service designations; included in the tabular statistics are employees who carry other than the E designation (representing Career Services in the four major Directorates).
- 4. In the past, promotion recommendations in the various elements of the Office of the Director were made by supervisors or penels to the Heads of Offices. If approved, the promotion actions were forwarded to the Administrative Officer/DCI, authenticated by that officer in terms of promotion headroom, and if below GS-12 forwarded to the Office of Personnel for processing and if to GS-12 and above, submitted to the Executive Director-Comptroller for final approval. Currently all promotion actions are being forwarded to the Executive Director-Comptroller for final approval.
- 5. Supergrade promotions are, of course, proposed on a periodic basis in /pril and October of each year. It is suggested that these recommendations can best be evaluated by you and the Office Heads against your supergrade ceiling allocation, following the practices that exist in the four Agency Directorates.
- 6. With regard to the establishment of a panel for competitive review of promotion recommendations, the figures given in Tab A have some significance. For exemple, the following provides the number of total E, FN and

GROUP 1 Excluded from automatic domagnithing and

EL designees at all grades and the number of these designees in the GS-11 to GS-14 bracket:

Total	GS-11 through GS-V
	Total.

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The two largest groups of employees carrying the E designation (Audit Staff and CME) do use a panel system for competitive review of promotions. I would hope that in the enlarged NIPE organization a panel system will be established. It might also be appropriate to establish a panel for the O/DCI. Beyond that I do not believe a panel made up of representatives of the various E components, all of which involve unlike functions, would be very effective. It is further suggested that the numbers involved within the grades GS-11 to GS-14 are rather small to require overall competitive rankings by a formal panel.

- 7. I am sure you realize that as Head of the Personnel Career Service I can make promotions through GS-15 without the official approval of the Deputy Director for Support. This is true for all Office Heads in the DDS, the DDI and the DDS&T. In the Clandestine Service, penel recommendations provide the basis for promotion actions with an administrative-type approval by CSPS representatives. It is my opinion that the Heads of the independent offices in the E Career Service should have the same prerogative.
- 8. It should be noted that those employees serving in elements of the Office of the Director who carry career designations other than the E, EM or EL fall under the competitive reviews and rankings of their own Career Services. Chviously, recommendations for promotion can be made at any time, but the recommendations are made to the perent Career Service for action at such time the perent Career Service panels meet for their overall reviews. This is the normal practice throughout the Agency.
 - 9. In summary, I suggest you consider the following:
 - a. The review and approval of supergrade actions be conducted semi-ennually on the basis of deliberations between you and your Office Heeds.

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- b. The Office Heads, using their own panel systems where appropriate, continue to review and recommend promotions through grade GS-15. Further, that these promotion actions be subject to administrative review only to determine that they are technically correct.
- e. If you prefer to retein approval authority at the GS-II through GS-I5 level, the reviews and recommendations of the Office Heads should be made on a semi-annual basis and submitted to you for approval at that time.
- 10. I recognize that the above answer is not responsive to your request for my thoughts on how a panel might be established within the E Career Service for competitive review of promotion recommendations. If you do not agree with my recommendations but still desire an E Career Service Panel, we will suggest a suitable structure.

/s/Harry B. Fisher

Harry B. Fisher Director of Personnel

Att

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22.347

12 January 1972

MEMORANDUM FOR: Director of Personnel

THROUGH

: Deputy Director for Support

SUBJECT

: E Career Service

A recent case suggested to me the desirability that a panel be established within the E Career Service for competitive review of promotion recommendations coming from the various elements of the Office of the Director. Could you give me your thoughts as to how this might be done, providing fair representation from OGC, OLC, OIG, ONE, OPPB, and NIPE Staff, as well as the individuals in the O/DCI. I would prefer that such a panel review and rank individuals proposed for promotion, on a periodic basis, rather than having individual recommendations forwarded to me directly and sporadically by the head of the office concerned.

W. E. Colby

Executive Director-Comptroller

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Note:

Approx 9 Feb - Shirley, O/ExDir, was advised that this paper is "en route" (suspense date was 3 Feb 72).

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DD/S 72-0169: Memo dtd 12 Jan 72 to D/Pers via DD/S fm ExDir-Compt, subj: "E" Career Service

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DD/S 72-0/69

12 January 1972

MEMORANDUM FOR: Director of Personnel

THROUGH

: Deputy Director for Support

SUBJECT

: E Career Service

A recent case suggested to me the desirability that a panel be established within the E Career Service for competitive review of promotion recommendations coming from the various elements of the Office of the Director. Could you give me your thoughts as to how this might be done, providing fair representation from OGC, OLC, OIG, ONE, OPPB, and NIPE Staff, as well as the individuals in the O/DCI. I would prefer that such a panel review and rank individuals proposed for promotion, on a periodic basis, rather than having individual recommendations forwarded to me direction and sporadically by the head of the office concerned.

/s/ WEC

W. E. Colby
Executive Director-Comptroller

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